

JAN 1 3 2006 UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Kennedy, T. S.

Application No.: 10/699,587

Filed: October 31, 2003

Title: Trays for Growth Plugs, and

Methods of Producing Them

Examiner: Alimenti, S. C.

Art Unit: 3644

Atty. Docket No.: RCX-022.01

CERTIFICATE OF FIRST-CLASS MAILING

I hereby certify that this "Information Disclosure Statement Based on International Search Report" is being deposited with the United States Postal Service as First Class Mail. postage prepaid, in an envelope addressed to: Mail Stop: Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January 9, 2006.

Shirine Darvish

Mail Stop: Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT **BASED ON INTERNATIONAL SEARCH REPORT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Forms PTO/SB/08a and 08b, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of U. S. patent publications (references BI – BL) are not required because this application was filed after June 30, 2003; a copy of non-U.S. patent publications (reference BM) is provided herein.

INFORMATION DISCLOSURE STATEMENT
BASED ON INTERNATIONAL SEARCH REPORT
APPLICATION NO. 10/699,587
DOCKET NO.: RCX-022.01

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed:

	(1)	within three (3) months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. 1.114; or
	(2)	after the period defined in (1) but before the mailing date of a final action or a notice of allowance under 37 C.F.R. 1.311, and
		the requisite Statement is below, OR
		the requisite fee under 37 C.F.R. 1.17(p), namely \$180.00, is included herein, or
	(3)	after the mailing date of a final action or notice of allowance but before the payment of the issue fee, AND
		the requisite Statement is below, AND
		the requisite petition fee under 37 C.F.R. 1.17(p), namely \$180.00 is included herein.
	It is re	spectfully requested that each of the patents and publications listed on the attached
Forms	PTO/S	B/08a and 08b, and other information contained herein, be made of record in this

B3141319.1 - 2 -

application.

Information Disclosure Statement
Based on International Search Report
Application No. 10/699,587

DOCKET NO.: RCX-022.01

STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

- 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Dana M. Gordon, Ph.D.

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Date: January 9, 2006

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Substituting 949A/PTO

PTO/SB/08a (08-03)
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT BASED ON ISR

(Use as many sheets as necessary)

Sheet 1 of 2

<u></u>	Complete if Known	
Application Number	10/699,587	
Filing Date	October 31, 2003	
First Named Inventor	Kennedy, T. Scott	
Art Unit	3644	
Examiner Name	Alimenti, S. C.	
Attorney Docket Number	RCX-022.01	

			U.S. PATENT	OCUMENTS	
Examiner Initials *	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	BI	US-4,510,712	04-16-1985	Whitcomb	
	BJ	US- 4,926,586	05-22-1990	Nagamastu	
	ВК	US- 6,526,693	03-04-2003	Conchran	
	BL	US- Des 198,591	07-07-1964	Brocken	
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		FOREIGN PA	TENT DOCU	MENTS		
Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T [®]
Initials*	No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Date MM-DD-YYYY			
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Examiner Signature	Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁴ Applicant is to place a check mark here if English language Translation is attached.

Traislation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DONOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08b(08-03)

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Substitute for form 1449B/PTO Complete if Known INFORMATION DISCLOSURE Application Number 10/699,587 Filing Date October 31, 2003 STATEMENT BY APPLICANT First Named Inventor Kennedy, T. Scott **BASED ON ISR** 3644 Art Unit (Use as many sheets as necessary) Examiner Name Alimenti, S. C. Sheet of 2 Attomey Docket Number RCX-022.01

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	вм	International Search Report dated October 18, 2005.	
,			
			
Examiner Signature		Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.